



DPC/P1.1

ACROSS GOVERNMENT POLICY

Governance – compliant authorities (ICT Policy Statement 1)

Purpose

This policy articulates the principle of conforming to all statutory, legal, regulatory, industry and internal compliance obligations and requirements with respect to the use of Information and Communications Technology (ICT) for conducting South Australian Government business.

It defines the context and scope of authorities that are obliged to adhere to whole of government ICT contract, Policy, Standard, Notification or equivalent instruction, and provides relevant perspective on the Government's compliance responsibility for a uniform approach to promoting a compliance culture in support of sound corporate governance of ICT¹.

Definitions

- **Public Sector Agency** – An internal to government entity, including administrative units, bodies corporate, statutory authorities, and instrumentalities of the Crown, as defined in the [Public Sector Act 2009 \(SA\)](#) (see *Interpretation*)
- **Supplier** – External to government entities with responsibility for meeting compliance obligations and requirements with respect to the use of ICT for South Australian Government agencies by means of contractual conditions conferred by the procuring agency or instrumentality of the Crown in the State of South Australia.

Scope

This policy shall apply, unless otherwise advised, to all bodies that are Public Sector Agencies and agency Suppliers.

Authority

This policy is issued under the authority of the Chief Operating Officer, Department of the Premier and Cabinet). It replaces the former version of this policy (version 2.5.1).

¹ In accordance with the commitment and conformance principles of [AS 3806-2006 Compliance programs](#) and [AS/NZS ISO/IEC 38500:2010 – Corporate governance of information technology](#) respectively

Policy Details

The Government of South Australia upholds its responsibility to comply with the obligations under the [Public Sector Act 2009 \(SA\)](#) related to dealing with agency information in accordance with law and agency requirements with respect to the use of ICT for conducting SA Government business (see *Ethical behaviour and professional integrity*).

It is intended to facilitate:

- the highest standards of ethics in meeting all applicable statutory, legal, regulatory, industry and internal compliance obligations and requirements with respect to the use of ICT
- identifying all relevant compliance obligations and assessment thereof in accordance with the [Government of South Australia Risk Management Policy Statement](#)
- promoting responsibility for compliance as a foundation for a culture that encourages behaviours in support of ongoing compliance, and discourages behaviours that may adversely impact or have the potential to compromise compliance
- effectively managing continued conformance to compliance obligations and requirements by fostering a culture conducive to promptly addressing any non-compliance opportunities
- demonstrating compliance through adequate documentation and practice
- continually improving the government's compliance posture through compliance monitoring, assurance and review.

Responsibilities

Compliant Authorities include Public Sector Agencies and Suppliers as defined by this Policy Statement. Compliant Authorities must adhere to the requirements contained herein with respect to their obligations under the *Public Sector Act 2009 (SA)* that relate to dealing with Agency information and associated technology and infrastructure.

Management has responsibility to ensure that this policy and any other whole of government ICT contract, Policy, Standard, Notification or equivalent instruction is observed by their personnel (including contractors, consultants, service providers, and other personnel). They must also ensure that all personnel are fully informed of their obligations and responsibilities with respect to this policy and other authoritative instruments that require (or contractually bind) the organisation to comply under the designation or other requirement using the term 'Compliant Authority'.

ICT and Digital Government, Department of the Premier and Cabinet, oversees the policy, standards and guidance with respect to this document, and is responsible for ongoing reviews.

References and Links

- [Public Sector Act 2009 \(SA\)](#)
- [ICT Ruling 1 – Exemptions](#), Government of South Australia
- [AS/NZS ISO/IEC 38500:2010, Corporate governance of information technology](#), International Organization for Standardization/International Electro-technical Commission
- [AS 8000-2003 Corporate governance – Good governance principles](#), Standards Australia International
- [AS 3806-2006 Compliance programs](#), Standards Australia International

Document Control

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